

Audit & Governance Committee – Work Plan

Training/briefing events will be held at appropriate points in the year to support members in their role on the Committee.

| Theme | Item | Lead officers | Scope |
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| 22 May 2024 | | | |
| Governance | Corporate Governance Report | <u>CYC</u> <u>Lorraine Lunt</u> | To provide Members with an update on corporate governance including issues. |
| Veritau (internal audit / counter fraud) | Annual review of the counter fraud framework | <u>Veritau</u> <u>Max Thomas / Richard Smith</u> | To present the findings of the annual review of the counter fraud framework and risk assessment, and seek comments on any updates needed to counter fraud and related policies. |
| Veritau (internal audit / counter fraud) | Approval of indicative annual internal audit programme and counter fraud plan | <u>Veritau</u> <u>Max Thomas / Richard Smith</u> | To seek approval for the 2024/25 internal audit work programme, and the counter fraud plan. |
| External Audit | Auditor's Annual Report 2021/22 | <u>Mazars</u> <u>Mark Kirkham</u> | To also include indicative commentary for 2022/23. |
| 9 July 2024 | | | |
| Governance | Update on the Constitution Review | <u>CYC</u> <u>Bryn Roberts</u> | |
| Risk | Key Corporate Risks monitor 4 | <u>CYC</u> <u>Debbie Mitchell</u> | Update on Key Corporate Risks (KCRs). |
| Finance / Procurement / Governance | Report on the Salvation Army's Early Intervention & Prevention contract | <u>CYC</u> | |
| Finance | Treasury Management Outturn | <u>CYC</u> <u>Debbie Mitchell</u> | |
| 31 July 2024 | | | |
| External Audit | Audit Progress Report | <u>Mazars</u> <u>Mark Kirkham</u> | |

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| Veritau (internal audit / counter fraud) | Annual Head of Internal Audit report | <u>Veritau</u> <u>Max Thomas / Richard Smith</u> | |
| Veritau (internal audit / counter fraud) | Audit Committee Self-Assessment | <u>Veritau</u> <u>Max Thomas / Richard Smith</u> | To set out the options for taking the self-assessment forward. |
| Risk | Key Corporate Risks monitor 1 | <u>CYC</u> <u>Helen Malam</u> | Update on Key Corporate Risks (KCRs). |
| Governance | Updates on Member Training and the LGA recommendations | <u>CYC</u> <u>Claire Foale / Lindsay Tomlinson</u> | To review the Council's performance against the 10 recommendations outlined by the LGA and to report on the progress of Member training. To include feedback from the Joint Standards Committee. |
| Governance | York Open Data platform | <u>CYC</u> <u>Claire Foale / Ian Cunningham</u> | |
| Finance | Draft Statement of Accounts 2023/24 | <u>CYC</u> <u>Debbie Mitchell / Helen Malam</u> | |
| 4 September 2024 | | | |
| Veritau (internal audit / counter fraud) | Audit Committee Self-Assessment | <u>Veritau</u> <u>Max Thomas / Richard Smith</u> | To share the results of the self-assessment undertaken. |
| Finance | Treasury Management Monitor 1 | <u>CYC</u> <u>Debbie Mitchell</u> | |
| Risk | Key Corporate Risks monitor 2 | <u>CYC</u> <u>Helen Malam</u> | Update on Key Corporate Risks (KCRs). |
| Governance | Corporate Governance Report | <u>CYC</u> <u>Lorraine Lunt</u> | To provide Members with an update on corporate governance including issues. |
| 27 November 2024 | | | |
| Finance | Final Statement of Accounts 2023/24 | <u>CYC</u> <u>Debbie Mitchell / Helen Malam</u> | |

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| Finance | Treasury Management Monitor 2 | <u>CYC</u> <u>Debbie Mitchell</u> | |
| External Audit | Audit Completion Report 2023/24 | <u>Mazars</u> <u>Mark Kirkham</u> | |
| 29 January 2025 | | | |
| Risk | Key Corporate Risks monitor 3 | <u>CYC</u> <u>Helen Malam</u> | Update on Key Corporate Risks (KCRs). |
| Finance | Treasury Management Monitor 3 | <u>CYC</u> <u>Debbie Mitchell</u> | |
| Finance | Treasury Management Strategy | <u>CYC</u> <u>Debbie Mitchell</u> | |
| Governance | Corporate Governance report | <u>CYC</u> <u>Lorraine Lunt</u> | To provide Members with an update on corporate governance including issues. |
| 26 March 2025 | | | |